

CCPA Investor Privacy Notice

Effective Date: June 16, 2025

The purpose of this privacy notice is to inform you about how your personal information (i.e., information that identifies, relates to, describes, or is reasonably capable of being associated with you) is processed by us in accordance with the California Consumer Privacy Act, as amended by the California Privacy Rights Act (together “**CCPA**”). By investing in and providing your personal information to a D. E. Shaw group fund identified in your subscription agreement (the “**Fund**”), we may process your personal information or that of your directors, officers, employees, and/or beneficial owners in accordance with this privacy notice. For the purposes of this privacy notice, references to “**we**,” “**us**,” or “**our**” refers collectively to D. E. Shaw & Co., L.P., D. E. Shaw & Co., L.L.C., and each of (a) their wholly owned subsidiaries and (b) the Funds they directly or indirectly control, advise, or manage. The words “**you**” and “**your**” mean only California residents investing in the Fund (as identified in your subscription agreement) and providing personal information to the Fund. Please ensure that you provide a copy of this privacy notice to any third parties whose personal information you provide to us.

Much of personal information we collect about California residents is not covered by this privacy notice due to exemptions under the CCPA. For example, personal information that is collected and processed pursuant to the federal Gramm-Leach-Bliley Act (“**GLBA**”) is not covered by this privacy notice. If you seek to or have obtained financial services from us, please refer to the [D. E. Shaw Group Privacy Policy](#), which explains our collection, use, and disclosure of your nonpublic personal information.

1. What categories of personal information do we collect about you?

Categories of Personal Information	Source of Information	Purpose for Collection	Categories of Recipients
<p>Identification and contact information: such as your name, postal address, email address, telephone numbers, or other contact details provided by you.</p> <p>Information covered by California’s records-destruction law (California Civ. Code § 1798.80) such as your passport details, bank</p>	<p>From you; from your authorized third parties such as investment adviser, family office representative, the organization to which you are affiliated, or other person designated by you to manage your investments (“Your Authorized Parties”).</p>	<p>Review and process your subscription agreement and supporting materials in connection with your investment in the Fund; to communicate with you about your investments, your account, and your requests for information; to enable you to access our services; to provide support in response to your communications</p>	<p>Our affiliates; our service providers (e.g., transfer agents, fund administrators, custodians, and broker-dealers, auditing information technology, and background check services) and our advisors (e.g., accountant, legal counsel) (together “Our Authorized Parties”); Your Authorized Parties; fraud prevention and law</p>

Categories of Personal Information	Source of Information	Purpose for Collection	Categories of Recipients
<p>account details; and details about your net worth and/or source(s) of wealth.</p> <p>Professional or employment related information such as job history, job title, or business contact information.</p>		<p>with us; to administer, manage, and improve our fundraising options; and enforce or defend our rights, ourselves, or through third parties to whom we delegate such responsibilities; and to fulfill our legal and regulatory obligations (e.g., KYC checks).</p>	<p>enforcement agencies; courts, governmental and non-governmental regulators, and ombudsmen; anyone to whom you authorize us to make such disclosure.</p>
<p>Legal information: fraud checks or flags raised about your account or transactions, suspected crimes, investigations, regulatory inquiries, complaints, lawsuits, claims and accidents</p>	<p>From you; Your Authorized Parties; the police crime and fraud prevention agencies; the public and regulators; Our Authorized Parties</p>	<p>To protect you, other clients and our business against unlawful or criminal activities and risks; to understand and meet our legal obligations to you and others, and can defend ourselves; and if required by law, governmental request or court order or based on our good faith belief that it is necessary to conform or comply with such law, request or court order or to protect the users of our Sites or the public.</p>	<p>Our affiliates; Our Authorized Parties; Your Authorized Parties; fraud prevention and law enforcement agencies; courts, governmental and non-governmental regulators, and ombudsmen; anyone to whom you authorize us to make such disclosure.</p>
<p>Commercial information such as investment products you invest in.</p>	<p>From you; from Your Authorized Parties.</p>	<p>To administer and manage investments and communications with you; to administer, manage, and improve our fundraising operations; and enforce or defend our rights, ourselves, or through third parties to whom we delegate such responsibilities.</p>	<p>Our affiliates; Our Authorized Parties; Your Authorized Parties; fraud prevention and law enforcement agencies; courts, governmental and non-governmental regulators, and ombudsmen; anyone to whom you authorize us to make such disclosure.</p>
<p>Internet/electronic activity on our Sites such as IP address, browsing</p>	<p>From you; from our website (www.deshaw.com) or</p>	<p>To improve our Sites, user experience and services; to track,</p>	<p>Our affiliates; Our Authorized Parties; fraud prevention and law</p>

Categories of Personal Information	Source of Information	Purpose for Collection	Categories of Recipients
<p>history, search history, your browser type, operating system type and/or mobile device model.</p> <p>More detailed information about this category can be found in our Online Privacy Statement.</p>	<p>any other subdomain (collectively, the “Sites”), through technology that interacts with your browser or devices.</p>	<p>compile, and analyze your use of our Sites (including which pages or portions of our website you are viewing and where you are viewing them from); to protect the security or integrity of the Sites and our business, such as by protecting against and preventing fraud, unauthorized transactions, and managing risk exposure, including by identifying potential hackers and other unauthorized users; and, in an aggregated non-specific format, for analytical and demographic purposes.</p>	<p>enforcement agencies; courts, governmental and non-governmental regulators, and ombudsmen; anyone to whom you authorize us to make such disclosure.</p>
<p>Other voluntary information: any voluntary information you may provide us in the course of your interactions with us.</p>	<p>From you; from Your Authorized Parties.</p>	<p>Review and process your subscription agreement and supporting materials in connection with your investment in the Fund; to communicate with you about your investments, your account, and your requests for information; to enable you to access our services; to provide support in response to your communications with us; to administer, manage, and improve our fundraising options; and to fulfill our legal and regulatory obligations (e.g., KYC checks).</p>	<p>Our affiliates; Our Authorized Parties; Your Authorized Parties; fraud prevention and law enforcement agencies; courts, governmental and non-governmental regulators, and ombudsmen; anyone to whom you authorize us to make such disclosure.</p>

We may also process your personal information as required or permitted by law, including to comply with a subpoena or similar legal, regulatory, or governmental request or process, or where we believe in good faith that disclosure is legally required or when we have a legitimate interest in making a disclosure, such as where necessary to protect our rights and property.

When we conduct “Know Your Customer” (“KYC”) and related anti-money laundering and sanctions’ law check, we use third party data services. Those services access information published by government authorities, as well as available both in the public domain and from proprietary news and related sources. That information may or may not identify you. If it does, it could involve the processing of criminal records or other sensitive data. We will process all personal information, whether obtained from you or third parties, for the limited purposes described above and as authorized by law.

Failure to provide personal information in whole or in part could make it impossible for us to proceed with the application to invest in the Fund and we may be unable to process or release your investment in the Fund. This may result in us terminating our relationship with you.

We do not sell your personal information or share your personal information with any third parties for purposes materially unrelated to those specified in this Section, such as direct marketing of third-party products to you, without providing you notice of such use and obtaining your consent. We also do not use or disclose Sensitive Personal Information, as defined in applicable laws, for any purposes other than those permitted by applicable law.

We may process your personal information using artificial intelligence tools to improve the efficiency and speed of our business processes and in accordance with law.

2. Your Rights

You have a right to:

- know what personal information we have collected, used, disclosed, and sold about you;
- correct inaccurate personal information that we maintain about you; and
- request deletion of your data (unless we have a basis for retention permitted under applicable law).

You may exercise your rights by yourself or designate an authorized agent to make a request on your behalf by contacting us as described in the “**Contact Us**” section. When we receive your request, we will first verify your identity and endeavor to respond to your verifiable request (unless such a request conflicts with certain lawful exemptions under California’s consumer privacy law) within 45 days after receiving it. If we need to extend this period, we will inform you of such in writing. Please note that we are only required to honor requests to know twice in a 12-month period.

We will not discriminate or retaliate against you for exercising your privacy rights.

3. Changes to this privacy notice

The effective date on this notice indicates the date on which this notice was last updated. We periodically will review and may modify this privacy notice. We will notify you of any material changes.

4. Contact Us

All questions, requests, or concerns regarding this privacy notice or relating to the processing of your personal information, including all requests as detailed in the “Your rights” section above, should be sent to privacy@deshaw.com. You may also call us at 1-800-382-7429 to exercise your rights.