

Hong Kong Investor Privacy Notice

Effective Date: October 27, 2025

The purpose of this personal data privacy notice is to inform you about how your personal data (i.e., any data relating directly or indirectly to a living individual, from which it is practicable for the identity of the individual to be directly or indirectly ascertained and in a form in which access to or processing of the data is practicable) is processed by us. By investing in and providing your personal data to the D. E. Shaw group fund identified in your Subscription Agreement (the “**Fund**”), the Fund may process your personal data or that of your directors, officers, employees, and/or beneficial owners. Your personal data will also be processed by D. E. Shaw & Co., L.P.¹ (in its capacity as an investment adviser to the Fund) and its affiliates, and D. E. Shaw & Co. (Asia Pacific) Limited. For the purposes of this privacy notice, references to “**we**”, “**us**”, or “**our**” refer collectively to the Fund, D. E. Shaw & Co., L.P., D. E. Shaw & Co. (Asia Pacific) Limited, and any affiliates and other companies of the D. E. Shaw group to which we belong. We are data users of your personal data under the Personal Data (Privacy) Ordinance (Cap.486).

Please ensure that you provide a copy of this privacy notice to any third parties whose personal data you provide to us (such as your directors, officers, employees, and/or beneficial owners).

1. What categories of personal data do we collect about you?

We may collect some or all of the following information about you:

- **Contact Information** such as your name, address, and contact details, including email address and telephone number;
- **Identification Information** such as your passport details or details of any other government-issued document evidencing your nationality or residence and including your name, picture, date and place of birth, signature, country of issuance and number, verification of the residential address you provide to us, which may take the form of a utility bill or other correspondence;
- **Tax Information** such as information necessary for tax compliance and reporting, including any government-issued tax identification number;
- **Financial Information** such as your net worth and/or source(s) of wealth and bank account;
- **Professional or Employment-related Information** such as your job history;

¹ The investment adviser for the Fund identified in your Subscription Agreement (the “**Fund Investment Adviser**”) may be an investment advisory affiliate of D. E. Shaw & Co., L.P. In that case, references to D. E. Shaw & Co., L.P., in this notice should be construed as referring also to the Fund Investment Adviser.

- **Commercial Information** such as the investment products you invest in, your estimated level of account activity, and your FINRA registration status;
- **Political Opinions Information** such as details about your status as a politically exposed person or relationship thereto;
- **Criminal Convictions and Offences Data** such as information collected about criminal convictions or offences, government sanctions, or negative news in the course of conducting a “Know Your Customer” check;
- **IT Information** such as information about your interactions with our websites and online services (including www.deshaw.com and the secure investor section thereof), which may include your network domain or IP address (collectively “online usage data”). Our [Online Privacy Statement](#) provides further information about our online privacy practices, including about our collection of online usage data and the use of cookies; and
- Any other information that you voluntarily provide to us.

We may also collect the personal data listed above from third parties, such as your investment adviser, family office representative, the organization with which you are affiliated, another person designated by you to manage your investments, and from third-party data services.

2. What is your personal data used for?

Your personal data may be processed by us (or any of our affiliates, agents, employees, delegates, or (sub)contractors) for the following purposes:

Categories of Personal Data	Purpose of Processing
All categories in Section 1 above.	To review and process your subscription agreement and supporting materials in connection with your investment in the Fund
	To administer and manage investments and communications and to update and maintain records
	To enforce or defend our rights, ourselves, or through third parties to whom we delegate such responsibilities
	To fulfil our legal and regulatory obligations
	To carry out the day-to-day running and management of our business including, to perform general, safety and security, financial, compliance, insurance, audit, and risk management obligations, accounting, record-keeping, and reporting This may include the use of artificial intelligence tools to help improve the efficiency and speed of these business processes
	To comply with our legal obligations relating to the prevention of fraud and the detection of money-laundering or other criminal conduct

Categories of Personal Data	Purpose of Processing
	To investigate and resolve complaints and manage contentious regulatory matters, investigations, and litigation
	To share such personal data with third parties that acquire or are interested in acquiring all or part of our assets or shares, or that succeed us in carrying on our business
Contact Information	To update and manage our relationship with you
Contact Information, IT Information	To monitor electronic communications for investigation and fraud prevention purposes, crime detection, prevention, and investigation
	To monitor, maintain, and improve the processes, information and data, technology and communications solutions, and services used by us

If you are a director, officer, employee, authorized signatory, or beneficial owner of a Fund investor, we process personal data about you in connection with the purposes described above, including to contact you to administer and manage the relevant investment relationship.

When we conduct “Know Your Customer” and related anti-money laundering and sanctions’ law checks, we use third-party data services. Those services access information published by government authorities, as well as information available both in the public domain and from proprietary news and related sources. That information may or may not identify you. If it does, it could involve the processing of criminal records or other sensitive data. Irrespective of whether we obtain such data from you or from a third party, we will process it solely for the limited purpose described above and as authorized by law.

The provision of your personal data is voluntary. Failure to provide personal data in whole or in part could make it impossible for us to proceed with your application to invest in the Fund and we may be unable to process or release your investment in the Fund. This may result in the Fund terminating its relationship with you.

Your right to object—Please note that you have a right to object to the processing of your personal data.

3. To whom do we disclose your personal data?

We may disclose your personal data for the purposes described above to the following recipients:

- your service providers, such as your investment adviser, family office representative, or another person designated by you to manage your investments;
- our affiliates, service providers (such as transfer agents, fund administrators, custodians, and broker-dealers), and advisors (such as accountants and legal counsel);
- fraud prevention agencies and law enforcement agencies;

- courts, governmental and non-governmental regulators, and ombudsmen;
- any third party that acquires, or is interested in acquiring or securitizing, all or part of our assets, shares, partnership interests, and/or membership interests, or that succeeds us in carrying on all or a part of our businesses, whether by merger, acquisition, reorganization, or otherwise;
- as required or permitted by law, including to comply with a subpoena or similar legal, regulatory, or governmental request or process, or where we believe in good faith that disclosure is legally required;
- when we have a legitimate interest in making a disclosure, such as where necessary to protect our rights and property; or
- anyone to whom you authorize us to make such disclosure.

We may transfer your personal data for the purposes described above to recipients (including the Fund, D. E. Shaw & Co., L.P., and our other affiliates) located in countries outside of Hong Kong, including in the European Economic Area, the United Kingdom, the United States, Singapore, and India. If we transfer personal data to other recipients outside of Hong Kong, we will ensure such transfer is made in compliance with applicable data protection and privacy laws.

4. For how long do we keep your personal data?

We will retain your personal data for as long as it is reasonably necessary to fulfill the purposes as described in this privacy notice, or otherwise required or permitted by applicable law.

5. Your Rights

You have the right to request access to and rectification or erasure of your personal data.

We will respond to your request in writing as soon as practicable and in any event, not more than one (1) month after receipt and verification of your request. You may exercise your rights by emailing us as described in the “**Contact Us**” section below.

6. Changes to this privacy notice

The effective date on this notice indicates the date on which this notice was last updated. We periodically will review and may modify this privacy notice. We will notify you of any material changes.

7. Contact Us

All questions, requests, or concerns regarding this privacy notice or relating to the processing of your personal data, including all requests as detailed in the “**Your Rights**” section above, should be sent to privacy@deshaw.com.